

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kar Wai C. Tao, Ping Yu and

Richard L. Roberts

Art Unit: 1614

Application No. 10/080,975

Filed: February 21, 2002

For: HIGH MOLECULAR WEIGHT,

LIPOPHILIC, ORALLY INGESTIBLE

BIOACTIVE AGENTS IN FORMULATIONS HAVING IMPROVED BIOAVAILABILITY

Examiner: Not yet assigned

Date: December 20, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on December 20, 2002 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

William D. Noonan, M.D. Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(c)

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

This Statement is provided in compliance with 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the Information Disclosure Statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement. As a result, no fee should be required to file this IDS.

12/20/02 3790-62404 160347.doc KMH:elb

Please charge any additional fees which may be required in connection with filing this Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

William D. Noonan, M.D.

Registration No. 30,878

One World Trade Center, Suite 1600

121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 226-7391 Facsimile: (503) 228-9446